

ALCATEL

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## FACSIMILE TRANSMITTAL

**TO:** OIPE  
**COMPANY:** U.S. Patent and Trademark Office  
**FAX NO.:** (703) 746-9195

**FROM:** Jerri Pearson  
**COMPANY:** Alcatel USA  
Intellectual Property Department  
**FAX NO.:** 972-477-9328  
**SENDER'S TELEPHONE NO.:** 972-477-9128

**DATE:** September 2, 2004  
**TOTAL PAGES (incl. cover):** 6

Serial No: 10/663,881  
Attorney Docket No.: 139082

I hereby certify that the following documents are being transmitted via facsimile to the U.S. Patent and Trademark Office on September 2, 2004. Each document is comprised of 1 page unless otherwise specified.

1. Facsimile Transmittal
2. Petition (5 pgs)

  
Jerri Pearson

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Serial No.: 10/663,881

Examiner: Unknown

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Watts et al  
**Serial No.:** 10/663,881  
**Filed:** 09/16/2003

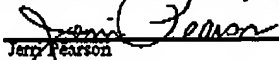
**Docket No.:** 139082  
**Art Unit:** 2642  
**Examiner:** Unknown

**Title:** Apparatus, and an Associated Method, for Selectably and Automatically Redirecting a Telephonic Call to a Secondary Location

**Office of Initial Patent Examination**  
**Filing Receipt Corrections**  
P. O. Box 1450  
Alexandria, VA 22313-1450

**Certificate Under 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being transmitted via facsimile to the U.S. Patent Office to 703-746-9195, on 9-2, 2004 in accordance with 37 C.F.R. §1.6(d).

  
Jerry Pearson

**PETITION TO CORRECT ENTITY STATUS**  
**AND REQUEST TO CORRECT FILING RECEIPT**

Dear Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

1. Between the Early Publication Section and the Title Section: remove **\*\*SMALL ENTITY\*\***.

In support of the above request, enclosed are the following documents:

1. a photocopy of the instant Official Filing Receipt with the corrections noted in red;
2. a copy of the Fee Transmittal for FY 2004 in which Applicant authorized the charge for \$790.00 at the large entity price.

Applicant had inadvertently checked the claim of small entity status on the Utility Patent Application Transmittal Form which could have contributed to the confusion.

The Examiner is authorized to charge any necessary fees to bring the application in compliance for large entity status to Deposit Account 50-0838.

Serial No.: 10/663,881

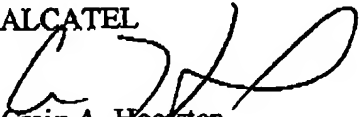
Examiner: Unknown

Applicant also requests that a corrected Official Filing Receipt be issued, reflecting the correction of the above-noted error. It is further requested that the corrected Official Filing Receipt be sent to the undersigned at the earliest possible time. All correspondence should continue to be directed to the address below.

Respectfully submitted,

Date: 9/2/04, 2004

ALCATEL

  
Craig A. Hoersten  
Reg. No. 39,884

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1100  
 Alexandria, Virginia 22304-1100  
 www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/663,881 | 09/16/2003                | 2642     | 375           | 139082          | 4        | 20       | 2        |

CONFIRMATION NO. 3107

024587

ALCATEL USA  
 INTELLECTUAL PROPERTY DEPARTMENT  
 3400 W. PLANO PARKWAY, MS LEG12  
 PLANO, TX 75075

RECEIVED

JAN 12 2004

## FILING RECEIPT



\*OC000000011639227\*

DOCKETED

INTELLECTUAL PROPERTY

Date Mailed: 01/08/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ronald F. Watts, Lewisville, TX;  
 Kenneth Depaul, Wake Forest, NC;  
 Andrea Afkhami, Richardson, TX;  
 Mike Thomason, Lafayette, CA;  
 Gilman R. Stevens, Fairview, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/10/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*SMALL ENTITY\*

Title

Apparatus, and an associated method, for selectably and automatically redirecting a telephonic call to a secondary location

**Preliminary Class**

379

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PTO/SB/17 (08-03)

Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 790.00)

**Complete if Known**

|                      |          |
|----------------------|----------|
| Application Number   | Unknown  |
| Filing Date          | Herewith |
| First Named Inventor | Watts    |
| Examiner Name        | Unknown  |
| Art Unit             | Unknown  |
| Attorney Docket No.  | 139082   |

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: **50-0838**

Deposit Account Name: **ALCATEL**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid           |
|----------------------------|----------------------------|------------------------|--------------------|
| 1001 750                   | 2001 375                   | Utility filing fee     | 750.00             |
| 1002 330                   | 2002 165                   | Design filing fee      |                    |
| 1003 520                   | 2003 260                   | Plant filing fee       |                    |
| 1004 750                   | 2004 375                   | Reissue filing fee     |                    |
| 1005 160                   | 2005 80                    | Provisional filing fee |                    |
| <b>SUBTOTAL (1)</b>        |                            |                        | <b>(\$ 750.00)</b> |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims: **20** -20\*\* = **0** X **Fee from below** **Fee Paid**

Independent Claims: **2** -3\*\* = **0** X **Fee from below** **Fee Paid**

Multiple Dependent

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid      |
|----------------------------|----------------------------|--|---------------|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |               |
| 1201 84                    | 2201 42                    | Independent claims in excess of 3                          |               |
| 1203 280                   | 2203 140                   | Multiple dependent claim, if not paid                      |               |
| 1204 84                    | 2204 42                    | ** Reissue independent claims over original patent         |               |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |               |
| <b>SUBTOTAL (2)</b>        |                            |  | <b>(\$ 0)</b> |

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code (\$)                        | Small Entity Fee Code (\$) | Fee Description  | Fee Paid          |
|---|----------------------------|--|-------------------|
| 1051 130  | 2051 65                    | Surcharge - late filing fee or oath  |                   |
| 1052 50   | 2052 25                    | Surcharge - late provisional filing fee or cover sheet                     |                   |
| 1053 130  | 1053 130                   | Non-English specification  |                   |
| 1812 2,520  | 1812 2,520                 | For filing a request for ex parte reexamination                            |                   |
| 1804 920*   | 1804 920*                  | Requesting publication of SIR prior to Examiner action                     |                   |
| 1805 1,840*                                       | 1805 1,840*                | Requesting publication of SIR after Examiner action                        |                   |
| 1251 110  | 2251 55                    | Extension for reply within first month                                     |                   |
| 1252 410  | 2252 205                   | Extension for reply within second month                                    |                   |
| 1253 930  | 2253 465                   | Extension for reply within third month                                     |                   |
| 1254 1,450  | 2254 725                   | Extension for reply within fourth month                                    |                   |
| 1255 1,970  | 2255 985                   | Extension for reply within fifth month                                     |                   |
| 1401 320  | 2401 160                   | Notice of Appeal   |                   |
| 1402 320  | 2402 160                   | Filing a brief in support of an appeal                                     |                   |
| 1403 280  | 2403 140                   | Request for oral hearing   |                   |
| 1451 1,510  | 1451 1,510                 | Petition to institute a public use proceeding                              |                   |
| 1452 110  | 2452 55                    | Petition to revive - unavoidable   |                   |
| 1453 1,300  | 2453 650                   | Petition to revive - unintentional   |                   |
| 1501 1,300  | 2501 650                   | Utility issue fee (or reissue)   |                   |
| 1502 470  | 2502 235                   | Design issue fee   |                   |
| 1503 630  | 2503 315                   | Plant issue fee  |                   |
| 1450 130  | 1460 130                   | Petitions to the Commissioner  |                   |
| 1807 50   | 1807 50                    | Processing fee under 37 CFR 1.17(q)  |                   |
| 1808 180  | 1808 180                   | Submission of Information Disclosure Stmt                                  |                   |
| 8021 40   | 8021 40                    | Recording each patent assignment per property (times number of properties) | 40.00             |
| 1809 750  | 2809 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |                   |
| 1810 750  | 2810 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |                   |
| 1801 750  | 2801 375                   | Request for Continued Examination (RCE)                                    |                   |
| 1802 900  | 900                        | Request for expedited examination of a design application                  |                   |
| Other fee (specify): <b>Additional Copies (5)</b> |                            |  |                   |
| *Reduced by Basic Filing Fee Paid                 |                            |  |                   |
| <b>SUBTOTAL (3)</b>                               |                            |  | <b>(\$ 40.00)</b> |

**SUBMITTED BY**Name (Print/Type): **Richard A. Mysliwiec**Registration No. **40,098**  
(Attorney/Agent)

(Complete if applicable)

Telephone **972.477.9092**Date **Sept 16, 2003**

Signature

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.